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In re the Application of: Satoshi OTSUKA

Group Art Unit: Unassigned

Serial No.: 10/816,955

Examiner: Unassigned

Filed: **April 5, 2004**

Confirmation No.: Unknown

For:

SEMICONDUCTOR DEVICE AND METHOD FOR FABRICATING

THE SAME

Attorney Docket No.: **042322** Customer Number: **38834**

INFORMATION DISCLOSURE STATEMENT PURSUANT TO 37 C.F.R. §1.97(b)

Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

May 14, 2004

Sir:

The attention of the U.S. Patent and Trademark Office is directed to the documents listed on the attached PTO/SB/08. One copy of each of these documents is attached. An English-language translation of the Abstract of the foreign publication document is also attached.

No fee or certification is required in connection with this Information Disclosure Statement, since it is being submitted prior to the issuance of a first official action on the merits or expiration of the three-month period following the filing date or the entry of the national stage of the above-captioned application.

The above information is presented so that the Patent and Trademark Office can, in the first instance, determine any materiality thereof to the claimed invention. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the

U.S. Application No.: 10/816,955 Information Disclosure Statement

May 14, 2004

documents cited in the attached PTO/SB/08 be made of record therein and appear on the first page of any patent to issue therefrom.

The Commission is authorized to charge Deposit Account No. 50-2866 for any fee which is deemed by the Patent and Trademark Office to be required to effect consideration of this statement.

Respectfully Submitted,

WESTERMAN, HATTORI, DANIELS & ADRIAN, LLP

John P. Kong

Attorney for Applicant Registration No.: 40,054

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PTO/SB/08A (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
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Complete if Known

Substitute for form 1449/PTO

Sheet 1

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

of

Complete if Known				
Application Number	10/816,955			
Filing Date	April 5, 2004			
First Named Inventor	OTSUKA			
Art Unit	Unassigned			
Examiner Name	Unassigned			
Attorney Docket Number	042322			

Examiner Cit Initials* No	Cite No.1	Number-Kind Code ² (# known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
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	1	^{US-} 6,373,136 B2	04/16/2002	Otsuka et al.		
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Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Fatent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁴Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.